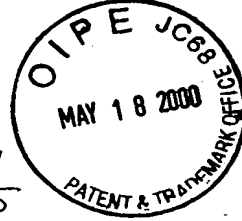


9200/
DAE
Docket No. 1855/96

U.S. PATENT AND TRADEMARK OFFICE

APPLICATION NUMBER : 819,305
PATENT NUMBER : 5,360,897
FILING DATE : 07/819305 January 9, 1992
ISSUE DATE : November 1, 1994
INVENTION TITLE : IMMUNOGENIC CONJUGATES OF
STREPTOCOCCUS PNEUMONIAL CAPSULAR
POLYMER AND TOXIN OR IN TOXOID
INVENTOR(S) : Porter W. Anderson and Ronald J. Eby



#16
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Assistant Commissioner for Patents
Box Patent Extension
Washington, DC 20231

**ADDITIONAL INFORMATION FOR APPLICATION
FOR EXTENSION OF PATENT TERM UNDER 35 U.S.C. 156**

This is in response to a requirement for information mailed on May 1, 2000. The response is due on or before July 1, 2000. Specifically, the request for information stated that the Applicant must provide documentary evidence of the complete chain of title from the inventors, Porter W. Anderson and Ronald J. Eby, to The University of Rochester. Further, the request stated the document can be a reference to the reel and frame number of the recorded assignment or copies of the relevant assignment documents.

Accordingly, attached herewith are copies of the following assignments:

- 1) Assignment from Porter W. Anderson and Ronald J. Eby to Praxis Biologics, Inc., recorded on December 23, 1986 at Reel 4658, Frame 682.
- 2) Assignment from Praxis Biologics, Inc. to The University of Rochester, recorded on April 17, 1989 at Reel 5108, Frame 81.

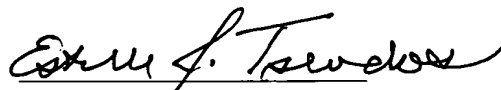
Applicants submit that this above information satisfies the request for information concerning the complete chain of title from the inventors to The University of Rochester.

Applicants would also like to clarify that the commercial product is correctly named "Prevnar™" and not Prevnair™, as identified in the letter from Karin Tyson, Senior Legal Advisor, Office of Patent Legal Administration, U.S.P.T.O., to David Read, Acting Director Regulatory Policy Staff, CDER, Food and Drug Administration.

The Commissioner is hereby authorized to charge any fees or credit any overpayments to Kenyon & Kenyon deposit account No. 11-0600.

Respectfully submitted,

Date: May 18, 2000


Estelle J. Tsevdos
Reg. No. 31,145

Kenyon & Kenyon
One Broadway
New York, New York 10004
Tel: (212) 425-7200
Fax: (212) 425-5288

~~570113~~
 2/84

Form PO-140
 Approved by
 Director General, U.S.
 Patent Office, 1950

**U.S. DEPARTMENT OF COMMERCE
PATENT OFFICE**

DEPOSIT ACCOUNT ORDER FORM

ALL TO BE IMMEDIATELY FORWARDED TO:
 Commissioner of Patents,
 Washington, D. C. 20231

Account No. 16-1150 Order No. 3117-031

Date December 23, 1986

Name and Address of Depositor:

**PENNIE & EDMONDS
1155 AVENUE OF THE AMERICAS
NEW YORK, N. Y. 10036**

DESCRIPTION OF ARTICLES OR SERVICES TO BE FURNISHED

Re: Porter W. Anderson and Ronald John Eby For: IMMUNOGENIC CONJUGATES

Serial No. 852,975 Filed: May 5, 1986

Attached please find an executed Assignment of the above-identified application and the invention disclosed therein from Porter W. Anderson and Ronald John Eby to Praxis Biologics, Inc. Said Assignment was executed on December 10, 1986. We request that the Assignment be recorded in the U.S. Patent and Trademark Office. Please charge the required fee to our Deposit Account No. 16-1150; a duplicate of this form is attached for accounting purposes.

hereby certify that this correspondence is being deposited
 in the United States Postal Service as first class mail in an
 envelope addressed to: Commissioner of Patents and
 Trademarks, Washington, D.C. 20231 on 12-23-86
 (Date of Deposit) 29,258

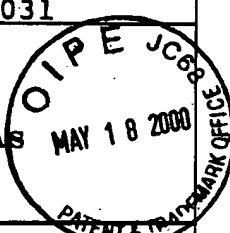
If additional space is needed attach separate sheets.

(Reg. No.) 18,872
12-23-86 S. Leslie Misrock 29,258
 (Date of Signature) Registration No.

BEFORE USING THIS ORDER FORM
 read the important information on the
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ITEM OR SERVICE	VALUE FURNISHED ACTION OFF. USE



U.S. DEPARTMENT OF COMMERCE
 PATENT OFFICE
 WASHINGTON, D.C. 20231
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YOUR ORDER NO.
 3117-031

Name PENNIE & EDMONDS
 Street Address 1155 AVENUE OF THE AMERICAS
 City, State, Zip Code NEW YORK, N. Y. 10036

ASSIGNMENT

JOINT

3117-031

WHEREAS, WE, PORTER W. ANDERSON and RONALD JOHN EBY

ASSIGNORS

citizens of the United States, residing at 40 Alpine St., Rochester, New York 14620 and 297 West Squire Drive #3, Rochester, New York 14623 are the inventors of the invention in Immunogenic Conjugates

for which we have filed an

application for a Patent of the United States, on May 5, 1986

Serial No. 859,975:

and WHEREAS, PRAXIS BIOLOGICS, INC., a corporation organized and existing under the laws of the State of New York and located at 30 Corporate Woods, Rochester, New York 14623

ASSIGNEE:

is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date December 11, 1986 1986Date December 11, 1986 1986State of New YorkCounty of Monroe } SS:

Porter W. Anderson
Ronald John Eby
RECORDED L.S.
PATENT & TRADEMARK OFFICE
DEC 23 1986

On this 11th day of December, 1986, before me, a Notary Public in and for the State and County aforesaid, personally appeared Porter W. Anderson to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument; and he acknowledged the same to be his free act and deed.

State of New York } SS:County of Monroe

LINDA A. HEDERLE
NOTARY PUBLIC, State of N.Y., Monroe Co.
My Commission Expires March 30, 1987

On this 11th day of December, 1986, before me, a Notary Public in and for the State and County aforesaid, personally appeared Ronald John Eby to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

LINDA A. HEDERLE
NOTARY PUBLIC, State of N.Y., Monroe Co.
My Commission Expires March 30, 1987

REC-4658 TMM 602

U.S. DEPARTMENT OF COMMERCE PATENT OFFICE RECEIVED DEPOSIT ACCOUNT ORDER FORM MAIL TO: Commissioner of Patents, 1933 L St., N.W., Washington, D. C. 20231 Date: April 14, 1989		BEFORE USING THIS ORDER FORM read the important information on the reverse side															
Account No. 16-1150 Order No. 3117-031		FOR OFFICE USE ONLY <table border="1"> <thead> <tr> <th>ITEM OR SERVICE</th> <th>VALUE FURNISHED ACTION OFF. USE</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td>93032007</td> </tr> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> </tbody> </table>		ITEM OR SERVICE	VALUE FURNISHED ACTION OFF. USE						93032007						
ITEM OR SERVICE	VALUE FURNISHED ACTION OFF. USE																
	93032007																
Name and Address of Depositor: PENNIE & EDMONDS 1155 AVENUE OF THE AMERICAS NEW YORK, N. Y. 10036																	
DESCRIPTION OF ARTICLES OR SERVICES TO BE FURNISHED Re: Application of Porter W. Anderson, et al., Serial # 859,975																	
Attached please find an executed ASSignment of the above-identified application and the invention disclosed therein from Praxis Bio- ologics, Inc. to The University of Rochester. Said ASSignment was executed on April 10, 1989. We request that the Assignment be recorded in the U.S. Patent and Trademark Office. Please charge the required fee to our Deposit ACcount No. 16-1150; a duplicate of this form is attached for accounting purposes.																	
91440554																	
If additional space is needed attach separate sheet. <i>S. Leslie Mistrock</i> <i>By Geraldine Jones</i> S. Leslie Mistrock Reg. No. 3,232 18872																	
THIS FORM MAY BE REPRODUCED WITHOUT PERMISSION OF THE PATENT OFFICE. Registration No.																	

Amend and
 Disclaim
 to Group 185

FOR PROMPT, ACCURATE SHIPMENT PLEASE COMPLETE THE FOLLOWING MAILING LABEL - PLEASE PRINT OR TYPEWRITE

G 11160 04/26/89 859975 16-1150 110 518 8.00CH
 U.S. DEPARTMENT OF COMMERCE
 PATENT OFFICE
 WASHINGTON, D.C. 20231
 OFFICIAL BUSINESS
 RETURN AFTER FIVE DAYS

Name **PENNIE & EDMONDS**
 Street Address **1155 AVENUE OF THE AMERICAS**
 City, State, Zip Code **NEW YORK, N. Y. 10036**

YOUR ORDER NO.

A S S I G N M E N T

WHEREAS, PRAXIS BIOLOGICS, INC., (hereinafter called "ASSIGNOR"), a corporation organized and existing under the laws of the State of New York and having its principal place of business at 30 Corporate Woods, Suite 300, Rochester, New York 14623, is the owner of the entire right, title and interest in, to and under the invention in IMMUNOGENIC CONJUGATES for which United States Patent Application Serial No. 859,975 was filed on May 5, 1986,

WHEREAS, THE UNIVERSITY OF ROCHESTER, (hereinafter called "ASSIGNEE") an educational institution chartered by the State of New York and located at Rochester, New York 14627, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR, has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States Application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States; together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

ASSIGNOR authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

JUN 10 1986

AND ASSIGNOR HEREBY covenants and agrees that it has full right to convey the entire interest herein assigned, and that it has not executed, and will not execute, any agreement in conflict herewith;

AND ASSIGNOR HEREBY further covenants and agrees that it will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, ASSIGNOR has caused this Assignment to be executed by its duly authorized officer this 10th day of APRIL, 1989.

PRAXIS BIOLOGICS, INC.

ATTEST: Karen M. Capron By: Don M. Walker L.S.
Title VICE - PRESIDENT

State of New York
County of Marion

SS.:

On this 30th day of March, 1989 before me, a Notary Public in and for the State and County aforesaid, personally appeared Don M. Walker, to me known and known to me to be the person of that name, who being duly sworn did depose and say that he is Vice President of Praxis Biologics, Inc. and that he signed the foregoing instrument by authority of the Board of Directors of said corporation.

RECORDED
PATENT & TRADEMARK OFFICE

APR 17 1989

Handwritten Signature
COMMISSIONER OF PATENTS
AND TRADEMARKS OFFICE

Karen M. Capron
Notary Public

KAREN M. CAPRON
Notary Public, State of New York
Qualified in Marion County
Commission Expires April 12, 1993